

Privacy in Statistics and Machine Learning **Spring 2021**
In-class Exercises for Lecture 8 (The Binary Tree Mechanism)
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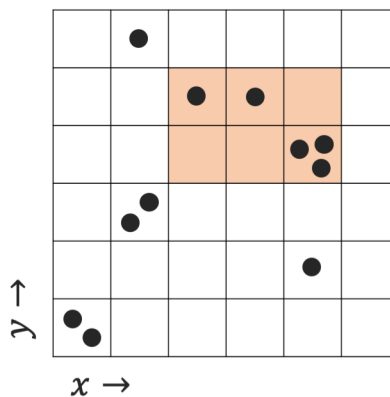
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Problems with marked with an asterisk () are more challenging or open-ended.*

1. (Exercise 2.1 in the notes) Let $F : [D]^n \rightarrow \mathbb{R}^{\binom{D}{2}}$ that takes a dataset \mathbf{x} and outputs a vector of answers containing $f_{s,t}(\mathbf{x})$ for every $1 \leq s \leq t \leq D$. Prove that the global sensitivity of F is $\Theta(D^2)$.
2. (Exercise 2.4 in the notes) Let \mathcal{T} be the set of intervals in the binary tree mechanism with domain size D that is a power of 2. Prove that for every t , we can express the interval $\{1, \dots, t\}$ as the union of at set of at most $\log_2 D$ intervals in \mathcal{T} . For example, $\{1, \dots, 11\} = \{1, \dots, 8\} \cup \{9, 10\} \cup \{11, 11\}$.
3. In this question we'll generalize the ideas of the binary tree mechanism to answer *rectangle queries*. Here the data universe is the two-dimensional grid with side length D , and each datapoint is a pair $(x_i, y_i) \in [D]^2$. A rectangle query $f_{s,t}^{u,v}$ is defined by ranges $1 \leq s \leq t \leq D$ and $1 \leq u \leq v \leq D$ and

$$f_{s,t}^{u,v}(\mathbf{x}) = \# \{i : s \leq x_i \leq t \text{ and } u \leq y_i \leq v\} \tag{1}$$

Here's an example depicting data in the domain $[6]^2$. Black dots are the data points (the position within the grid cells is irrelevant) and the orange shaded area represents the rectangle query $f_{3,5}^{4,5}$, whose answer on this dataset is 5.



- (a) How many rectangle queries are there? What is the global sensitivity of the set of all rectangle queries on the domain $[D]^2$? If we use the Laplace mechanism to answer all such queries, how much noise do we add to each query?
- (b) Suppose we only want to answer the subset of queries called *corner-aligned rectangle queries*. This is the subset of rectangle queries that include the lower-left corner $(1, 1)$, and have the form $f_{1,t}^{1,v}$.

- i. Using the Laplace mechanism, how much noise would we add to answer all corner-aligned rectangle queries?
 - ii. How can you express any rectangle query $f_{s,t}^{u,v}$ as a combination of a small number of corner-aligned rectangle queries?
 - iii. How much noise would we incur if we use the Laplace mechanism to answer all corner-aligned rectangle queries and then recover the answer to the other rectangle queries?
- (c) (*) Can you generalize the binary-tree mechanism to answer all rectangle queries with error $O(\frac{1}{\epsilon} \log^a D)$ for some constant exponent a ?
4. Consider the setting prefix-sum queries from Lectures 2 and 3 on reconstruction. The data set consists of an ordered list x_1, x_2, \dots, x_n in $[0, 1]$. Give a differentially private algorithm which answers all prefix sum queries with expected additive error $O(\frac{\log^3 n}{\epsilon})$ or better. (That is, you should approximate $\sum_{j=1}^i x_j$ for every i).